

05-02-01

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Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (08-00)

Approved for use through 9/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

CONN015CON

First Inventor or Application Identifier

Schwabe et al.

Title

RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF

Express Mail Label No.

EL 563 387 491 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages **48**]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total **9**]

5. Oath or Declaration [Total Pages **2**]

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☒ paper

c. ☒ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☒ Other: Copy of Petition for Extension of Time from  
USSN 09/041,491; Change of Address

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/041,491**

Prior application information: Examiner **A. Gupta**

Group / Art Unit **1653**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

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24353

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

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650-327-3400

Fax

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Karl Bozicevic

Registration No. (Attorney/Agent)

28,807

Signature

*[Signature]*

Date

April 20, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$355.00

## Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Schwabe et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	CONN015CON

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-0815**  
Deposit Account Name **Bozicevic, Field & Francis LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			\$355.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
4	-20** = 0	X	0.00
Independent Claims	1 - 3** = 0	X	0.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0.00

## FEE CALCULATION (continued)

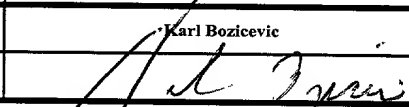
### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non - English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

## SUBMITTED BY

Name	Karl Bozicevic	Registration No. (Attorney/Agent)	28,807	Telephone	650-327-3400
Signature		Date	April 30, 2001		

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**Change Of Attorney Or Agent's Address In Application  
(37 CFR 1.8(a))**

Docket No.  
**CONN015CON**

In Re Application Of:

**Schwabe et al.**

Serial No.  
To Be Assigned

Filing Date  
Herewith

Examiner  
To Be Assigned

Group Art Unit  
To Be Assigned

Invention: **RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF**

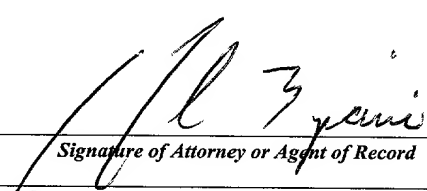
TO THE ASSISTANT COMMISSIONER FOR PATENTS

Please send all correspondence for this application to:

**Karl Bozicevic  
Reg. No. 28,807  
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200 Middlefield Road  
Suite 200  
Menlo Park, California 94025  
650-327-3400 - Telephone  
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Please direct all telephone calls to:

**Karl Bozicevic  
Reg. No. 28,807  
650-327-3400**

  
*Signature of Attorney or Agent of Record*

Dated: **April 30, 2001**

**Karl Bozicevic  
Reg. No. 28,807  
Bozicevic, Field & Francis LLP  
200 Middlefield Road  
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Menlo Park, California 94025**

*Registration Number & Address of Attorney or Agent of Record*

~~I certify that this document is being deposited on  
with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.~~

~~*Signature of Person Mailing Correspondence*~~

~~*Typed or Printed Name of Person Mailing Correspondence*~~

**Express Mail Label No. EL 563 387 491 US**

<b>NOTIFICATION OF PRIOR SEQUENCE LISTING</b>  Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	CONN015CON
	First Named Inventor	Schwabe et al.
	Application Number	To Be Assigned
	Filing Date	Herewith
	Group Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
	Title	RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF

Sir:

The above-identified patent application contains sequences of nucleic acid and polypeptides. A sequence listing was prepared for the parent application, Serial No. 09/041,491, filed March 12, 1998, in paper and computer-readable format. The sequences in the present application are identical to those presented in the parent application. Therefore, please transfer to this application, in accordance with 37 CFR §1.821(e), the computer readable copy from applicants' other application.

Applicants respectfully submit that the present patent application is now in compliance with 37 CFR §§1.821-1.825.

Applicants believe that no fee is due in connection with the filing of this paper. However, the Commissioner is hereby authorized to charge any fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: April 30, 2001

By: [Signature]  
Karl Bozicevic  
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F:\DOCUMENT\CONN015CON\CONN-015CON - seq cert.doc

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Schwabe et al.

Docket No.

CONN015CON

Serial No.  
To Be AssignedFiling Date  
HerewithExaminer  
To Be AssignedGroup Art Unit  
To Be Assigned

Invention: RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF

I hereby certify that the following correspondence:

Patent Application Transmittal; Fee Transmittal in duplicate; Preliminary Amendment; Application Data Sheet; Copy of Specification, Claims and Abstract; Nine Sheets of Drawings; Copy of Executed Declaration; Sequence Listing Certification; Paper Copy of Sequence Listing; Change of Correspondence Address; Copy of Petition for Extension of Time from parent application Serial No. 09/041,491; Return Receipt Postcard

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

4/30/01  
(Date)Margaret Pierce

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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